

Board of Curators Meeting

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June 16-17, 20 <</MCID 6 >>BDC -13.76 -1.72 Td [(M)-1(i)-2(nut)-2(e)4(s)-1(of)3(t)-2(he)4(B)7(oa

The Honorable ~~Philip H. Phillips~~ Rickson

The Honorable Phillip H. Snowden

The Honorable David L. Steelman

The Honorable Jon T. Sundvold

The Honorable Thomas R. Voss

Also Present

Mr. Michael A. Middleton, Interim President

Mr. Stephen J. Owens, General Counsel

Ms. Cindy Harmon, Secretary of the Board of Curators

Mr. G. Patrick Graham

Mr. Ryan D. Rapp, Chief Audit Executive
Dr. Cheryl B. Schrader, Chancellor for Missouri University of Science and Technology
Dr. Robert W. Schwartz, Interim Vice President for Academic Affairs, Research and Economic Development
Ms. Kelley D. Stuck, Interim Vice President for Human Resources
Ms. Zora Z. Mulligan, Chief of Staff, UM System
Ms. Sharon Lindenbaum, Vice Chancellor for Administration, UMKC
Mr. John Fougere, Chief Communications Officer, UM System
Media representatives

General Business

The oath of office was administered by the Board Secretary for Curators Mary E. Nelson, Jon T. Sundvold, Thomas R. Voss and Student Representative to the Board of Curators G. Patrick Graham

Presidential Search Committee

The Presidential Search Committee meeting convened at 8:10 am and adjourned at noon on Thursday, June 16, 2016.

Information

1. Approach to Reviewing Prospects/Nominees

Action

1. Approval, Minutes of Presidential Search Committee Meetings, April 14 and May 4, 2016
2. Resolution for Executive Session of the Presidential Search Committee meeting

12:00 – 1:00 PM Luncheon by invitation for the Board of Curators, Interim President, University of Missouri-Columbia Interim Chancellor and University of Missouri-Columbia Student Leaders
Columns A&B, Reynolds Alumni Center

1:32 PM Public Session

General Business

Review of Consent Agenda – No discussion.

- that the President of the University System be authorized to develop the FY2017 budgets in accordance with the attached planning assumptions and financial summaries (and as on file with the minutes of this meeting), which include the allocation of FY2017 state appropriations less 3.0% statutory withholdings.
- that the President of the University System be authorized to: (a) make required changes to working capital and reserve funds and (b) make supplemental allocations within the funds available to the several campuses and programs, such allocations to be made on the basis of priority and need. The President will report periodically to the Board of Curators any material changes in sources and uses of current funds;
- that the operating budget for FY2017 and allocation as stated herein can be modified as necessary by the President to bring the same into harmony with the state appropriations as finally approved by the governor and any withholdings in excess of those shown above.

Roll call vote full Board:

Curator Cupps voted yes.

Curator Graham voted yes.

Curator Henrickson voted yes.

Curator Nelson voted -12.22 -1.3<7(u)-4(t)-6(o0l)-2(l)-12(a)4i906(n)-4(ex)-14(ces)-(i)-2(on 5t

1. University Relations Report (slides on file) – A panel discussion regarding the use of social media with students was presented by the UM System Chief Communications Officer John Fougere and the four campus communication leaders; Jennifer Hollingshead, Ron Goessen, Anne Spenner and Andy Careaga.

Audit Committee

Chairman Graham provided time for discussion of committee business.

Information

1. Fiscal Year 2016 External Audit Scope, UM – presented by Rachel Dwiggin and Danielle Solomon with BKD, LLP (slides and information on file)

The Audit Committee recessed at 6:05 P.M. on Thursday, June 16, 2016 and will reconvene on Friday, June 17, 2016.

The public session of the Board of Curators meeting recessed at 6:05 P.M. on Thursday, June 16, 2016.

Board of Curators Meeting – Executive Session

A meeting of the University of Missouri Board of Curators was convened in executive session at 6:10 P.M., on Thursday, June 16, 2016, in the Donrey Media Room 211 of the Reynolds Alumni Center on the University of Missouri campus, Columbia, Missouri, pursuant to public notice given of said meeting. Curator Pamela Q. Henrickson, Chair of the Board of Curators, pren

Mr. G. Patrick Graham, Student Representative to the Board of Curators

Health Affairs Committee Meeting – Executive Session

As members of the Committee, Ms. Teresa Maledy and Mr. Ron Ashworth joined the meeting. Mr. Mitch Wasden, Dr. Choma, Dean Delafontaine, Interim Chancellor Foley, Vice President Brian Burnett, Mr. Robert Hess and Mr. Blake Schofield also joined the meeting.

²⁷⁷Contract negotiation and legal advice, MU – presented by Mr. Wasden, General Counsel Owens and Attorney Robert Hess. This item is excluded from the minutes and may be given public notice upon completion.

Contract negotiation and legal advice – presented by Mr. Wasden and General Counsel Owens

Curator Phillips recused himself from the discussion because of his membership on the Board of another organization that may have an interest in the transaction. The potential conflict was disclosed to the rest of the Board members.

This was an information item. No action taken by the Board.

The executive session of the Board of Curators meeting recessed at 6:36 PM on Thursday, June 16, 2016.

University of Missouri System Awards Reception, Dinner and Program

6:00 – 8:30 P.M.

Thursday, June 16, 2016

Hosted by the University of Missouri System Board of Curators and Interim President Michael A. Middleton

Location: Stotler Lounge, Memorial Student Union, University of Missouri campus, Columbia, Missouri

System Honorees (program on file)

Thomas Jefferson Award – Richard Rosenfeld, University of Missouri-St. Louis

Student Entrepreneur of the Year – Connor Hall, University of Missouri-Columbia

C. Brice Ratchford Memorial Fellowship Award – Douglas Scott Brown, University of Missouri – Columbia

President's Award for Community Engagement – Candace Galen, University of Missouri – Columbia

President's Award for Cross-Cultural Engagement – Randall Smith, University of Missouri-Columbia

President's Award for Leadership – Chi-Ren Shyu, University of Missouri-Columbia

President's Award for Service – Sally Barr Ebest, University of Missouri – St. Louis

President's Award for Early Career Excellence – Michela Becchi, University of Missouri-Columbia

Curator Henrickson voted no.
Curator Nelson voted yes.
Curator Phillips voted yes.
Curator Snowden voted yes.
Curator Steelman voted yes.
Curator Sundvold voted yes.
Curator Voss voted no.

The motion carried with seven in favor and two opposed.

It was moved by Curator Cupps and seconded by Curator Graham, that on or before July 22, 2016, the Vice President for Finance shall report to the Board of Curators on the feasibility of the University self-funding the East Campus Plant Growth Facilities (Phase I) project, with the report to include but not be limited to information on the effect of such self-funding on the University's general finances, credit ratings, AAU status and other capital projects.

Roll call vote of the Board:

Curator Cupps voted yes.
Curator Graham voted yes.
Curator Henrickson voted yes.
Curator Nelson voted yes.
Curator Phillips voted yes.
Curator Snowden voted yes.
Curator Steelman voted yes.
Curator Sundvold voted yes.
Curator Voss voted yes.

The motion carried.

It was recommended by Interim Chancellor Foley, Chancellors Morton, Schrader and George, endorsed by Interim President Middleton, recommended by the Finance

Committee, moved by Curator Steelman and seconded by Curator Graham, that the following action be approved as amended:

That Interim President Middleton be authorized to submit to the appropriate

It was recommended by Vice President Burnett, endorsed by Interim President Middleton, recommended by the Audit Committee, moved by Curator Graham, seconded by Curator Cupps, that the attached Fiscal Year 2017 Internal Audit Plan (and as on file with the minutes of this meeting) be approved.

Roll call vote of the Board:

Curator Cupps voted yes.

Curator Graham voted yes.

Curator Henrickson voted yes.

Curator Nelson voted yes.

Curator Phillips voted yes.

Curator Snowden voted yes.

Curator Steelman voted yes.

Curator Sundvold voted yes.

Curator Voss voted yes.

The motion carried.

The Finance and Audit Committees of the Board of Curators adjourned at 9:02 AM on Friday, June 17, 2016.

General Business

Board Chair's Report – Kevin McDonald, UM System Chief Diversity Officer presented a vision and plan for moving diversity, equity and inclusion forward through a strategic framework (slides on file).

Interim President's State of the University Address – presented by Interim President Middleton

A report of strategic initiatives from the past year was presented including the Board's diversity, equity and inclusion initiatives. Information was also presented for each UM System department and campus regarding accomplishments over the past year.

Consent Agenda

It was endorsed by Interim President Middleton, moved by Curator Cupps and seconded by Curator Phillips, that the following items be approved by consent agenda:

CONSENT AGENDA

1. Minutes, April 14-15, 2016 Board of Curators Meeting
2. Minutes, April 14-15, 2016 Board of Curators Committee Meetings
3. Minutes, May 4, 2016 Special Board of Curators Meeting
4. Minutes, May 11, 2016 Special Board of Curators Meeting
5. Minutes, May 18, 2016 Special Board of Curators Meeting
6. Degrees, Summer Semester 2016 for all campuses
7. June 2016 Security Resolution
8. Amendment, Collected Rules and Regulation 300.020, Faculty Bylaws of the University of Missouri-Kansas City, UMKC
9. Amendment, Collected Rules and Regulation 30.010, Overview of Development, UM
10. Amendment, Collected Rules and Regulations 320.070, Academic Appointments
11. Sol8Sour>(ol)-2(e)4(S)-4(our)3(>(ol)-2(e)4 -1.15c)N255 -P.010, Overvi(onse)4(/LBodom.0

The motion carried.

1. Minutes, April 14-15, 2016 Board of Curators Meeting - as provided to the curators for review and approval.
2. Minutes, April 14-15, 2016 Board of Curators Committee Meetings Minutes – as provided to the curators for review and approval.
3. Minutes, May 4, 2016 Special Board of Curators Meeting – as provided to the curators for review and approval.
4. Minutes, May 11, 2016 Special Board of Curators Meeting – as provided to the curators for review and approval.
5. Minutes, May 18, 2016 Special Board of Curators Meeting – as provided to the curators for review and approval.
- 6.

- Vice President for Finance and CFO, Brian D. Burnett, Ph.D.
- Interim Vice President for Human Resources, Kelly Stuck
- Vice President for Information Technology, Gary K. Allen, DVM, Ph.D.
- Vice President for University Relations, Stephen C. Knorr
- Chancellor, University of Missouri-Kansas City, Leo E. Morton
- Chancellor, Missouri University of Science and Technology, Cheryl B. Schrader, Ph.D.
- Chancellor, University of Missouri-St. Louis, Thomas F. George, Ph.D.
- Chief of Staff, Zora Mulligan, J.D.

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of Music and Dance, School of Graduate Studies, School of Medicine,
School of Nursing and Health Studies.

3. **Libraries** -- Libraries include: Miller Nichols Library, Leon E. Bloch Law Library, Health Sciences Library, Dental Library.

4. **Faculties of the Schools**

1. **Membership**

1. The Faculty shall consist of the President of the University, the Chancellor, the Dean of the School, and all persons with academic appointments who are assigned to the school. The Faculty shall be

4. Officers of the Senate shall be members of the Senate for the duration of their terms.
2. **Terms of Office and Elections**
 1. Senate members shall be elected early in the winter semester, to take office at the first Senate meeting the following fall.

2. Any Faculty member may appear before the Senate to express his/her concerns by sending a written request to the Senate Chair-elect, who shall thereupon notify the Faculty member of the time and place of the next meeting and invite him/her to attend.
5. **Officers of the Faculty Senate** -- Elected officers shall be the Chair-elect, Chair, and Past-Chair. Each year, a Chair-elect will be elected for three-year terms in a manner consistent with the Bylaws. Upon the election, each position will advance; the previous Chair-elect, becomes Chair, and the previous Chair becomes the Past-chair. Upon election, the Chair-elect, Chair, and Past-chair, and IFC-elect, if not already members of the Senate, shall be eligible to participate ex officio in the deliberations of the Senate. IFC and Senate Officer elections and terms comply with section 300.020.E.2 and 20.100 of the UM System CRRs.
 1. The Chair is the presiding officer of the Senate. It shall be the responsibility of the Chair to set the meeting schedule of the Senate and of the general Faculty meetings. The Chair shall be responsible for setting the agenda for both the Senate meetings and the general Faculty meetings, and for their distribution to all members of the voting Faculty. The agenda shall be set after consultation with the Senate Executive Committee. The Chair shall serve on the IFC during his/her term in office.
 2. The Past-Chair shall preside in the absence of the Chair, shall serve as the parliamentarian of the Senate, and shall be an ex officio member and Chair of the Election Committee to validate Faculty votes.
 3. The Chair-elect shall serve as secretary, and record the minutes of the general Faculty meetings and the Senate meetings, and distribute them to all Faculty members, and make them available on-line through the Senate website. The Chair-elect shall excuse absences of Senate members and shall maintain attendance records.
 4. Should a Chair resign, that office will be assumed by the Chair-elect for the remainder of the term. Should the Chair-elect resign, a new special election will be held to fill the vacancy for the remainder of the term. Should the Past-chair resign, the Senate will elect a Senator to fill the role and duties of the Past-chair for the remainder of the term. In the case of a Chair resignation, the Chair-elect will serve out the remainder of the resigning Chair's term for that year, and then serve the next year as the Chair as if normal progression had occurred. .
 5. In the case of an IFC vacancy, the Senate shall determine at a regular meeting whether to fill the vacancy temporarily or for the duration of the unexpired term. For a temporary replacement, the Senate shall elect a current or prior Senate member to fill the

ballots with a receipt deadline of 2 weeks after the general Faculty meeting.

3. These Bylaws and amendments thereto become effective upon ratification by the Board of Curators.

4. **Standard Operating Procedures** -- The vngT-0.003.Tc 0TictifTicll (g)12(.S)10

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- a. To serve as a liaison committee between the University of Missouri System President (and administration) and the four campus alumni associations and Extension;
- b. To

1. Information Blank --

appropriate administrators, either department chairpersons,
directors, or deans.

- B. **Non-Salaried Medical Faculty** -- There is authorized the appointment of non-salaried professional members of the faculty of the School of Medicine with the

of extensions a Curators' Distinguished Teaching Professor may be granted.

- c. The duration of the appointment for Curators' Distinguished Teaching Professors appointed prior to January, 2005 is not term limited.
- d. No person shall hold the title, Curators' Distinguished Teaching Professor, while serving also in a full-time administrative position. A person on a Curators' Distinguished Teaching Professor appointment asked to assume such a position may, with approval of the Board prior to the administrative appointment, reassume the title of Curators' Distinguished Teaching Professor upon expiration of that appointment.
- e. A Curators' Distinguished Teaching Professor may, upon recommendation of the President and approval of the Board, be designated Curators' Distinguished Teaching Professor Emeritus upon retirement from the University.

11. Sole Source, RxStation Automated Dispensing Cabinets, MU –

That the University of Missouri Health Care (UMHC) be authorized to purchase RxStation Automated Dispensing Cabinets (ADC) from Cerner Corporation at a total cost of \$6,290,402.50.

Funding is as follows:

University Hospital & Women's & Children's Hospital Med Dispensing Units Capital Accounts H3459 & H3460-777400 \$5,695,690.70

University Hospital & Women's & Children's Hospital Pharmacy Operating Accounts H0219 & H0104-789300 \$ 594,711.80

12. Sole Source, Mobile Intra-operative Computed Tomography (CT), MU –

that the University of Missouri Health Care (UMHC) be authorized to purchase Mobile Intra-Operative Computed Tomography (CT) from Brainlab, Inc. at a total cost of \$1,273,590.

Funding is as follows:

University Hospital Airo Mobile	H3584-777400	\$1,273,590
Interop CT Capital Account		

13. Spinal Cord Injuries and Congenital or Acquired Disease Processes Research Program Proposal –

Spinal Cord Injuries And Congenital Or Acquired Disease Processes Research Program

Proposal Recommended For Funding
2016

I. Apparel-Related Barriers to Participation for People Living with Spinal Cord Injury

Allison Kabel
Assistant Professor
University of Missouri-Columbia

Total funding recommended \$181,945

14. Approval of Investment Custodian, UM –

That the Northern Trust Company is approved as an investment custodian for the General Pool, Retirement, Disability and Death Plan, 52750()-2750(A)2A

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This includes, without limitation, any activity or service that involves the use of the Employee's expertise, the practice of his or her profession, or any activity or service that contributes to the Employee's professional competence or development; or

- b. A direct or indirect financial interest in an entity that proposes to do business or is doing business with the University; or
 - c. A direct or indirect financial interest in an entity that proposes to acquire or has acquired rights to University owned intellectual property; or d. Whenever an Employee's duties include research or investigation, a direct or indirect financial interest in an entity conducting research or business that is relevant to the scope of the Employee's University Responsibilities; or
 - e. Any other activity that could be construed as relating to or overlapping or competing with the core missions of the University.
3. "University Responsibilities" means an Employee's responsibilities for which such Employee was hired and conducted at or undertaken on behalf of the University, which may include, but is not limited to, activities such as research, research consultation, teaching, outreach/extension, professional practice, institutional committee memberships and service on University-based panels.
 4. "Manage" (or "Managed") means taking action to address an actual or potential conflict of interest, which can include reducing or eliminating the conflict to ensure to the extent possible that the Employee's University Responsibilities will be free from bias. "Manage" may include, without limitation, a formal written Conflict of Interest Management Plan.
 5. "Gift" means any tangible or intangible item or items having a monetary value in excess of \$75. This maximum value applies to each gift transaction.
 6. "Prohibited Source" means any person or entity, public or private, outside the University, with interests, financial or otherwise, that may be substantially affected by the recommendations, decisions, performance or non-performance of the duties of the Employee.
- C. Use of Confidential Information** -- Employees shall not use University confidential or proprietary information obtained by reason of their University employment with intent to cause financial gain to themselves or unfair advantage for another person.
- D. Prohibition Against Acceptance of Gifts**
Employees of the University shall avoid accepting Gifts for personal use, directly or indirectly, from Prohibited Sources, except as permitted in Section 330.015D.1 below.

1. **Exceptions** -- The following shall not be considered a violation of this policy:
 - a. Gifts that are available to the Employee on the same conditions as for the general public;
 - b. Educational materials utilized

that it could influence the decision-making process of the private firm or business entity and the Employee could also influence the decision-making process of the University in entering into or performing the contract:

- i. The University shall not enter into the contract or shall cancel the contract, if the terms of the contract so permit; or
- ii. The University and Employee shall enter into a Conflict of Interest Management Plan which shall, among other things require that:
 - a) The Employee shall take such action as is necessary to remove her or him from a relationship with the private firm or business entity which could influence the decision-making process of the private firm or business entity; and/or
 - b) The University shall establish a procedure to remove any opportunity for the Employee to influence the entering into the contract by the University

F. Responsibilities of Each Campus:

Each campus shall:

1. Establish a committee to coordinate the solicitation and review of disclosures of Outside

Resolution, Ann K. Covington

It was endorsed by Interim President Middleton, recommended by Chair Henrickson, moved by Curator Cupps and seconded by Curator Graham, that the following resolution recognizing the dedicated service of Ann K. Covington to the Board of Curators be approved:

RESOLUTION

WHEREAS, Ann K. Covington served the people of Missouri with distinction as a member of the University of Missouri Board of Curators from January 31, 2013 until November 18, 2015; and

WHEREAS, Curator Covington served as a member of the Academic, Student and External Affairs; Compensation and Human Resources; Finance; and Governance, Resources and Planning committees; and also served as Chair of the Finance Committee for two years; and

WHEREAS, during her term as Chair of the Finance Committee, Curator Covington became well-versed in the technical areas of financial management. She asked key questions relating to issues of finance, governance, and the overall fiscal health of the university, including asset allocation principles and effective pension and endowment management; and

WHEREAS, Curator Covington was dedicated to the educational, research and economic development mission of the University of Missouri, and recognized that all fields of study, including science, technology, engineering, medicine, and the humanities, are vital for a world-class university and its students to thrive, in Missouri, the nation and the world; and

WHEREAS, Board Chair Pam Henrickson said of her: “Curator Covington’s wisdom and experience were invaluable to the Board as we dealt with many difficult issues during her tenure. Her restrained demeanor and humility set an example that show us that being the most persuasive could also mean speaking softly.”; and

WHEREAS, Ann Covington is appreciated and admired by the UM system staff in University Hall. She never failed to be friendly, kind and courteous to staff at any level while conducting Board business; and

WHEREAS, at the 2015 staff appreciation picnic, she gave a thoughtful and inspirational speech to the attendees, gracefully thanking the staff and awardees for their accomplishments; and

Resolution, 30th Anniversary of the Partnership between the University of Missouri and the University of the Western Cape

It was endorsed by Interim President Middleton, moved by Curator Steelman and seconded by Curator Nelson, that the following resolution recognizing the 30th anniversary

BE IT FURETHER RESOLVED, that the Secretary of the Board of Curators cause this resolution to be spread upon the minutes of this meeting and that a duly inscribed copy thereof be furnished to Tyrone Pretorius, Rector, University of the Western Cape.

Roll call vote of the Board:

Curator Cupps voted yes.
Curator Graham voted yes.
Curator Henrickson voted yes.
Curator Nelson voted yes.
Curator Phillips voted yes.
Curator Snowden voted yes.
Curator Steelman voted yes.
Curator Sundvold voted yes.
Curator Voss voted yes.

The motion carried.

The public session of the Board meeting recessed at 10:10 A.M. on Friday, June 17, 2016.

Executive Session

A meeting of the University of Missouri Board of Curators was reconvened in executive session at 10:37 A.M., on Friday, June 17, 2016, in the Donrey Media Room 211 of the Reynolds Alumni Center on the University of Missouri campus, Columbia . r -0.iBDC 3u,.1]c-10(B)

Also Present

Mr. Michael A. Middleton, Interim President

Mr. Stephen J. Owens, General Counsel

Ms. Cindy S. Harmon, Secretary of the Board of Curators

Mr. G. Patrick Graham, Student Representative to the Board of Curators

Consent Agenda

It was endorsed by Interim President Middleton, moved by Curator Cupps and seconded by Curator Snowden, that the following items be approved by consent agenda with the exception of item number three.

Roll call vote of the full Board:

Curator Cupps voted yes.

Curator Graham voted yes.

Curator Henrickson voted yes.

Curator Nelson voted yes.

Curator Phillips voted yes.

Curator Snowden voted yes.

Curator Steelman voted yes.

Curator Sundvold voted yes.

Curator Voss voted yes.

\$10,000 annual stipend as long as he holds this position. \$5,000 will go to increased compensation (salary and benefits less applicable taxes) with the remaining \$5,000 available for professional

level 13 and an increase to her base salary of \$3,284 based on market analysis effective July 1, 2016.

Roll call vote of Board of Curators:

Curator Cupps voted yes.
Curator Graham voted yes.
Curator Henrickson voted yes.
Curator Nelson voted no.
Curator Phillips voted yes.
Curator Snowden voted yes.
Curator Steelman voted yes.
Curator Sundvold voted yes.
Curator Voss voted yes.

The motion carried.

Performance Goals for Fiscal Year 2017, Board Secretary Cindy Harmon – presented by Curator Phillips

The motion carried.

General Business

General Counsel's Report – presented by General Counsel Owens

President's Report on Personnel and Contracts – presented by President Wolfe

Litigation Report – presented by General Counsel Owens

It was moved by Curator Cupps and seconded by Curator Graham, that the meeting of the Board of Curators, June 16-17, 2016, be adjourned.

Roll call vote:

Curator Cupps voted yes.
Curator Graham voted yes.
Curator Henrickson voted yes.
Curator Nelson voted yes.
Curator Phillips voted yes.
Curator Snowden voted yes.
Curator Steelman voted yes.
Curator Sundvold voted yes.
Curator Voss voted yes.

The motion carried.

There being no other business to come before the Board of Curators, the meeting was adjourned at 3:00 P.M. on Friday, June 17, 2016.

Respectfully submitted,

Cindy S. Harmon
Secretary of the Board of Curators
University of Missouri System

Approved by the Board of Curators on July 22, 2016.